

FLIESLER MEYER LLP

INTELLECTUAL PROPERTY LAW

FOUR EMBARCADERO CENTER • FOURTH FLOOR
SAN FRANCISCO • CALIFORNIA 94111-4156
TELEPHONE 415.362.3800
FACSIMILE 415.362.2928
INTERNET WWW.FDHL.COM

C O N F I D E N T I A L

TO: OIPE's Filing Receipt Corrections

FAX NO.: 1-703-746-9195

FROM: Stephen R. Bachmann

RE: Application No. 10/719,088

DATE: April 7, 2004 Total Pages : 5
(Including Fax Cover Page)

Original will follow by mail: No

If you do not receive all of the pages, please call Mary Beth Stone at 415.362.3800.

MESSAGE (if any):

NOTICE: This facsimile is **CONFIDENTIAL** and may be attorney-client privileged, work product, and/or otherwise exempt from disclosure under applicable law.

This facsimile is intended only for the addressee and those authorized by the addressee to receive it. Any use, dissemination, distribution or copying of this facsimile by any others is prohibited. Any others receiving this facsimile are requested to notify FLIESLER MEYER LLP immediately by telephone or fax and to return the original facsimile to FLIESLER MEYER LLP.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Rahul Srivastava, Adam Messinger
Appl. No.: 10/719,088
Confirm. No.: 2249
Filed: 11/21/2003
Title: IMPROVED CONNECTION POOL AND
PREPARED STATEMENT CACHE

PATENT APPLICATION

Art Unit: 2141
Examiner:

Customer No.: 23910

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being transmitted by facsimile to the Commissioner for Patents, the United States Patent and Trademark Office, OIPE's Filing Receipt Corrections, Facsimile No. (703) 746-9195 on April 7, 2004. Total number of pages transmitted 4 pgs.



Stephen R. Bachmann, Reg. No. 50,806
Signature Date: April 7, 2004.

(Attorney Signature)

REQUEST FOR CORRECTED FILING RECEIPT

OIPE's FILING RECEIPT CORRECTIONS

Commissioner for Patents
P.O. Box 1450
Art Unit 2141
Alexandria, VA 22313-1450

Sir:

A Filing Receipt for the above identified application was received in our office on March 9, 2004 with an error therein.

- 1 -

Attorney Docket No.: BEAS-1340us2
sbachmann/beas/1340US2/1340US2.correct.filing.recpt.

It is hereby respectfully requested that a Corrected Filing Receipt be issued, and the PTO records be corrected, to change the item: **TITLE: Connection Pool and Prepared Statement Cache** to **Improved Connection Pool and Prepared Statement Cache** as indicated by handwriting on the enclosed copy of page 1 of the Filing Receipt.

Respectfully submitted,

Date: April 7, 2004

By: 

Stephen R. Bachmann (Reg. No. 50,806)

FLIESLER MEYER LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

- 2 -

Attorney Docket No.: BEAS-1340us2
sbachmann/beas/1340US2/1340US2.correct.filing.recpt.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22304-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/719,088	11/21/2003	2141	856	BEAS-1340US2	7	19	4

CONFIRMATION NO. 2249

23910
FLIESLER MEYER, LLP
FOUR EMBARCADERO CENTER
SUITE 400
SAN FRANCISCO, CA 94111

RECEIVED

MAR 09 2004

FLIESLER MEYER LLP

FILING RECEIPT



OC000000012039172

Date Mailed: 03/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rahul Srivastava, Randolph, NJ;
Adam Messinger, San Francisco, CA;

Assignment For Published Patent Application

BEA Systems, Inc., San Jose, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/450,976 02/28/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/05/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Improved Connection pool and prepared statement cache

Fliesler, Meyer LLP

File: BEAS - 01340US2

Action Item: Action #1?

Date Due: January 31, 2005

Critical Date: January 31, 2005

Attorney Path: SRM/ERP

Docketed By: mpr

Verified By: mls

Preliminary Class

709

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).